FOR THE PROPERTY OF AUG 2006

PTO-1390 (Rev. 07-2005) Approved for use through 3/31/2007. OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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### TRANSMITTAL LETTER TO THE UNITED STATES ATTORNEY'S DOCKET NUMBER UF-270C3 DESIGNATED/ELECTED OFFICE (DO/EO/US) PRICATION NO. (If known, see 37 CFR 1.5) **CONCERNING A SUBMISSION UNDER 35 U.S.C. 371** INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/2005/006355 February 28, 2005 February 26, 2004 TITLE OF INVENTION System and Method for Real-Time Diagnosis, Treatment, and Therapeutic Drug Monitoring APPLICANT(S) FOR DO/EO/US Richard J. Melker, James Chris Sackellares, Mark S. Gold, Donn Michael Dennis, Charles R. Martin, Jon D. Stewart Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). unsigned An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. An Application Data Sheet under 37 CFR 1.76. A substitute specification. A power of attorney and/or change of address letter. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1,821-1,825. A second copy of the published International Application under 35 U.S.C. 154(d)(4).

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

### IAP11 Rec'd PCT/PTO 07 AUG 2006

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U.S. APPLICATION NO (if Plovin, Ge 37 CFR 1.5) INTERNATIONAL APPLICATION NO. ATTORNEY'S DOCKET NUMBER PCT/2005/006355 UF-270C3 20 Other items or information: Certificate of Mailing by Express Mail; copy of first page of published PCT application WO 2005/072711 A2; executed Verification of Translation; and copies Form PCT/IB/345 and attachment regarding deposit of biological materials The following fees have been submitted CALCULATIONS PTO USE ONLY 21. 🗸 300.00 Examination fee (37 CFR 1.492(c)) If the written opinion prepared by ISA/US or the international preliminary examination report prepared \$200.00 by IPEA/US indicates all claims satisfy provisions of PCT Article 33(1)-(4)......\$0 23. 🔽 Search fee (37 CFR 1.492(b)) If the written opinion of the ISA/US or the International preliminary examination report prepared by \$ 400.00 Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority......\$100 International Search Report prepared by an ISA other than the US and provided to the Office or previously communicated to the US by the IB......\$400 All other situations......\$500 \$ 900.00 TOTAL OF 21, 22 and 23 = Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing in compliance with 37 CFR 1.821(c) or (e) or computer program listing in an electronic medium) (37 CFR 1.492(j)). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. **Total Sheets** Extra Sheets Number of each additional 50 or fraction **RATE** thereof (round up to a whole number) 82 0 - 100 = /50 = x \$250 Surcharge of \$130.00 for furnishing any of the search fee, examination fee, or the oath or declaration \$ after the date of commencement of the national stage (37 CFR 1.492(h)). **CLAIMS** NUMBER FILED NUMBER EXTRA RATE Total claims 90 - 20 = 70 \$ 50 х \$ 3500.00 Independent claims 4 - 3 = 1 \$200 \$ 200.00 MULTIPLE DEPENDENT CLAIM(S) (if applicable) \$360 TOTAL OF ABOVE CALCULATIONS = \$4600.00 Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by 1/2. \$2300.00 SUBTOTAL = \$2300.00 Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(i)). **TOTAL NATIONAL FEE =** \$2300.00 Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property TOTAL FEES ENCLOSED = \$2300.00 Amount to be \$ refunded: Amount to be \$ charged

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IAP11 Rec'd PCT/PTO 07 AUG 2000 (Rev. 07-2005)

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а. 🔲	A check in the amount of \$	to cover the a	above fees is enclosed.		
b. 🗸	Please charge my Deposit Account No. <u>19-0065</u> in the amount of \$ <u>2300.00</u> to cover the above fees. A duplicate copy of this sheet is enclosed.				
c. 🗸	The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. <u>19-0065</u> . A duplicate copy of this sheet is enclosed.				
d. 🔲	Fees are to be charged to a credit card. <b>WARNING</b> : Information on this form may become public. <b>Credit card information should not be included on this form</b> . Provide credit card information and authorization on PTO-2038.				
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the International Application to pending status.					
SEND ALL CORRESPONDENCE TO:			man		
CI	JSTOMER NUMBER	August 7, 2006	SIGNATURE Margaret Efron		
	23557	Date	NAME		
		54.0	47,545		
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## IAP11 Rec'd PCT/PTO 07 AUG 2008

Patent Application Docket No. UF-270C3

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s)

Richard J. Melker, James Chris Sackellares, Mark S. Gold,

Donn Michael Dennis, Charles R. Martin, Jon D. Stewart

Docket No.

UF-270C3

For

System and Method for Real-Time Diagnosis, Treatment, and

Therapeutic Drug Monitoring

Mail Stop PCT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

### CERTIFICATE OF MAILING BY EXPRESS MAIL (37 CFR §1.10)

Express Mai r C. DL52459235US Date of Deposit: August 7, 2006

I hereby certify that the attached Transmittal Letter to the United States Designated/Elected Office (DO/EO/US) with copies as required for authorization for use of Deposit Account No. 19-0065; unsigned Declaration (37 CFR 1.63) and Power of Attorney form, and cover page (2 pages) of WO 2005/098429 are being deposited with the United States Postal Service Express Mail Post Office to Addressee service under 37 CFR 1.10 on the date indicated above and are addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

Drew Core	DERO CU	
Name of person mailing paper	Signature	